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PTO/SB/21 (05-03) Approved for use through 04/30/2003, OMB 0851-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/023,969 TRANSMITTAL Filing Date December 17, 2001 First Named Inventor **FORM** John M. Irving, et al. Art Unit 1648 (to be used for all correspondence after initial filing) Examiner Name Ulrike Winkler Attorney Docket Number 084/002 12 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication 1 to Group Drawing(s) Fee Transmittal Form (in duplicate) Appeal Communication to Board of Appeals and Interferences Licensing-related Papers Fee Attached Appeal Communication to Group  $\checkmark$ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final (9 pages) Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Endosure(s) (please **Terminal Disclaimer** Identify below): **Extension of Time Request** Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT J. Michael Schiff, Registration No. 40,253 Individual name Signature Date July 29, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Karen Makausky

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/023,969 Application Number December 17, 2001 Filing Date for FY 2003 John M. Irving, et al. First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. Ulrike Winkler **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1648 Art Unit 42 084/002 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Other None Large Entity Small Entity Deposit Account: Fee Fee Code (\$) Fee Description Fee Paid Code (\$) Deposit 07-1139 Account 2051 65 Surcharge - late filing fee or oath 130 1051 Number Surcharge - late provisional filing fee or 2052 25 Deposit Account 50 1052 Geron Corporation cover sheet Name 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 For filling a request for ex parte reexamination 1812 2,520 Credit any overpayments Charge fee(s) indicated below 920\* Requesting publication of SIR prior to 1804 920 1804 Charge any additional fee(s) during the pendency of this application 1,840\* Requesting publication of SIR after Charge fee(s) indicated below, except for the filing fee 1805 1805 1,840 . Examiner action to the above-identified deposit account. 55. Extension for reply within first month 1251 2251 110 FEE CALCULATION 205 Extension for reply within second month 410 2252 1252 1. BASIC FILING FEE 2253 485 Extension for reply within third month 1258 930 Small Entity arge Entity Fee Description Fee Paid 1,450 2254 725 Extension for repty within fourth month 1254 Fee Pee Code (\$) 985 Extension for reply within fifth month 1,970 2255 1255 Utility filing fee 2001 375 1001 750 320 2401 160 Notice of Appeal 1401 2002 165 Design filing fee 1002 830 160 Filling a brief in support of an appeal 820 2402 1402 1003 520 2003 260 Plant filing fee 280 2403 140 Request for oral hearing 1403 2004 375 Reissue filino fee 1004 750 1451 1,51D 1451 1.510 Petition to institute a public use proceeding 1005 2005 80 Provisional filing fee 160 55 Petition to revive - unavoidable 110 2452 1452 SUBTOTAL (1) (\$) 1453 1,300 2453 650 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 650 Utility issue fee (or reissue) 1,300 2501 1501 Fee Paid 470 2502 235 Design Issue fee 1502 Extra Claims below ō Total Claims 25 0 X 0 1503 630 2503 315 Plant issue fee Independent Claims 42 42 x 4 1460 13D Petitions to the Commissioner 146D 130 Multiple Dependent 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 ame Entity 180 Submission of Information Disclosure Stmt Small Entity 1806 180 1808 Fee Description 40 Recording each patent assignment per Fee Fee Code (\$) 40 8021 Code (\$) 8021 property (times number of properties) Claims in excess of 20 2202 9 1202 375 Filing a submission after final rejection (37 CFR 1.129(a)) 18 2809 1809 750 Independent claims in excess of 3 42 1201 84 2201 375 For each additional invention to be 2203 140 Multiple dependent claim, if not paid 1810 750 281D 1203 280 examined (37 CFR 1.129(b)) \*\* Reissue Independent claims 2204 42 1204 A4 375 Request for Continued Examination (RCE) 1801 750 2801 over original patent 900 Request for expedited examination \*\* Reissue claims in excess of 20 and over original patent 1802 900 1802 2205 1205 18 of a design application Other fee (specify) (\$) SUBTOTAL (2) \*Reduced by Basic Filing Fee Pald SUBTOTAL (3) ٥ (\$) or number previously paid, if greater, For Reissues, see above (Complete (il applicable) SUBMITTED BY (650) 473-7715 Registration No. 40.253 Telephone Name (Print/Type) J. MilhaeliSchiff Date Signature WARNING: Information on this form may become public. Credit card information should no

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From:

J. Michael Schiff

Director, Intellectual Property

Page 1 of

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Attorney Docket No. 084/002

## OFFICIAL FILING

Transmittal, Fee Transmittal and Amendment Under 37 CFR § 1.116 for USSN 10/023,969

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